



GICA COMPLIANCE COLLABORATIVE PROGRAM

Title IX Informal Resolution Mediator Training

Zoom Session

October 2023

1:30 pm to 3:30 pm

AGENDA

Agenda:

- Definitions:
 - Sexual harassment
 - Education program/activity
 - Recognized, off-campus student organizations
 - Formal Complaint
 - Complainant
 - Respondent
- Review of policy process steps
 - Informal resolution process
 - Formal resolution process
 - Forms
 - Informal resolution agreements
 - Requirements by statute
 - Requirements by institution
- Role of mediation in the Title IX process
- Who should be a Mediator?
- Role of Mediator (by statute)
- Role of Mediator (by institutional policy)
- Discussion of “do the facts really matter?”
- Discussion of appropriate resolution options
- Navigating confidentiality and disclosure of information within the institution
- Practical tips

Presenter:

Judith W. Spain, J.D., CCEP

Judy currently serves as the GICA Compliance Program Consultant and serves on the Board for the Society for Corporate Compliance and Ethics. She is a Professor Emeritus, an attorney licensed in Kentucky, Ohio, and Pennsylvania, and is a Certified Compliance and Ethics Professional. She served as General Counsel and Chief Ethics and Compliance Officer at Eastern Kentucky University and Manhattanville College, where she developed and implemented compliance programs. Judy is the author of the first book on higher education compliance, *Higher Education Compliance: Blueprint for Success*. Judy is also the author of *Compliance Risk Assessments: An Introduction* published by the Society for Corporate Compliance and Ethics. Her third book, *Higher Education Compliance: What Governing Boards Really Need to Know* focuses on the responsibilities of higher education governing boards.

Resources:**OCR and Title IX**

- https://www2.ed.gov/about/offices/list/ocr/docs/tix_dis.html
- [Federal Register :: Nondiscrimination on the Basis of Sex in Education Programs or Activities Receiving Federal Financial Assistance](#)
- [The U.S. Department of Education Releases Proposed Changes to Title IX Regulations, Invites Public Comment | U.S. Department of Education](#)